IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No: 10/597,882

Applicant; Finkelstein et al.

Filed: August 10, 2006

Title : Method and system for supplementing directors' and officers' insurance

TC/A.U.: 3692

Examiner:

Confirmation No.: 7400

PRELIMINARY AMENDMENT UNDER 37 CFR 1.115

Sir:

Preliminary to processing by the Office of Initial Patent Examination, and prior to the calculation of filing fees due, please amend the above identified application as follows:

Amendments to the Specifications begin on page 2 of this paper.

Remarks/Arguments begin on page 3 of this paper.